

Robust Statistics in Muon Track Reconstruction

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115 **Abstract**

116 The IceCube detector is a high-energy neutrino telescope located at the geo-
117 graphic South Pole. Neutrinos cannot be directly observed and must be inferred
118 from their interactions with other particles. These interactions sometimes gener-
119 ate a muon, which in turn emits observable light. At the energies the IceCube
120 detector is sensitive to, the neutrino and generated muon have almost parallel
121 paths, so the neutrino path can be inferred from a reconstruction of the muon
122 path. However, reconstructing the muon path from the observed light is chal-
123 lenging due to noise, outliers in the data, and the possibility of simultaneously
124 multiple muons inside the detector.

125 This manuscript describes our work on two problems: (1) the *path recon-*
126 *struction* problem, in which, given a set of observations, our goal is to recover
127 the path of a muon, and (2) the *coincident event* problem, which is to deter-
128 mine how many muons are active in the detector during a time window. Rather
129 than solving these problems by developing more complex physical models, our
130 approach is to augment the detector's current models with simple filters and
131 robust statistical techniques. Using the metric of median angular resolution,
132 a standard metric for path reconstruction, our solution improves the accuracy

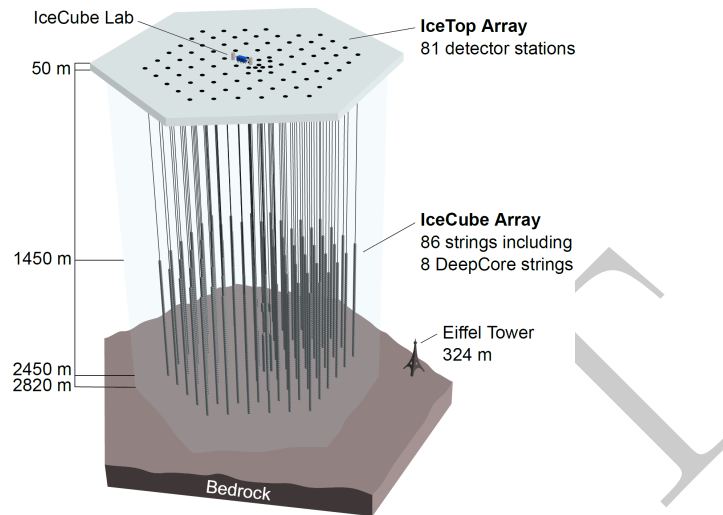


Figure 1: The IceCube neutrino detector in the Antarctic ice. A picture of the Eiffel Tower is shown for scale.

133 in the reconstruction direction by 13%. Our solution for the coincident-event
 134 problem accurately determines the number of muons 98% of the time, which is
 135 an improvement of 86% over the software previously used in IceCube.

136 *Keywords:* IceCube, Track reconstruction, Neutrino telescope, Neutrino
 137 astrophysics, Robust Statistics

138 1. Introduction

139 The IceCube neutrino detector searches for neutrinos that are generated by
 140 the universe’s most violent astrophysical events: exploding stars, gamma ray
 141 bursts, and cataclysmic phenomena involving black holes and neutron stars [1].
 142 The detector, roughly a cubic kilometer in size, is located near the geographic
 143 South Pole and is buried to a depth of about 2.5 km in the Antarctic ice [2].
 144 The detector is illustrated in Figure 1 and a more complete description is given
 145 in Section 2.

146 We examine two problems that arise in the IceCube detector’s neutrino
 147 detection:

- 148 1. *Reconstruction*, in which the path of a muon is reconstructed from the
 149 observed light at different positions and times in the detector.
- 150 2. *Coincident Event Detection*, in which we detect the number of muons
 151 inside the detector, and assign observed photons to a muon.

152 The IceCube Collaboration has spent considerable effort on both of these
153 problems over the last decade, as they are a critical step for data analysis.
154 They have developed sophisticated domain models that take into account the
155 interaction of near- and far-field effects of light, and have undertaken complex
156 mapping efforts to understand the effects of photon propagation in the ice [3,
157 4]. Our solutions do not further refine the detailed modeling of these physical
158 effects, but instead augment the models with off-the-shelf statistical techniques
159 combined with some simple data filtering to remove outliers.

160 *Related Work.* Track reconstruction and coincident event detection challenges
161 are ubiquitous in particle physics [5–7], both in particle accelerators and cosmic
162 particle detectors. While the work described in this manuscript builds on the
163 previous technique developed for the IceCube detector [3], our techniques are
164 general purpose, and potentially have applications in detectors beyond IceCube.

165 *Outline.* We begin by describing the necessary background on the IceCube de-
166 tector in Section 2. In Section 3, we describe the reconstruction pipeline in-
167 cluding the prior IceCube software, then we discuss our work and its results.
168 Section 4 describes our work on coincident event detection, and follows a parallel
169 structure to Section 3. We describe how in this application, a simple heuristic
170 approach is an improvement over the prior software. We close with a conclusion
171 in Section 5.

172 2. Background

173 The IceCube detector is composed of 5160 optical detectors, each composed
174 of a photomultiplier tube (PMT) and onboard digitizer[8]. The PMTs are spread
175 over 86 vertical strings arranged in a hexagonal shape, with a total instrumented
176 volume of approximately a cubic kilometer. The PMTs on a given string are sep-
177 arated vertically by 17 m, and the string-to-string separation is roughly 125 m.

178 When a neutrino enters the telescope, it sometimes interacts with the ice
179 and generates a muon. As the muon travels through the detector, it radiates
180 light[9], which is observed by the PMTs and broken down into discrete *hits*[10].
181 A collection of hits is called an *event*, and if the number of hits in an event is
182 sufficiently large, the muon path reconstruction algorithm is triggered.

183 In addition to neutrinos, muons can also be generated by cosmic rays. Ice-
184 Cube analyses on neutrinos are not interested in cosmic ray muons, and the de-
185 tector attempts to separate out the cosmic ray muons from the neutrino muons.
186 The primary mechanism for this separation is reconstructing the muon path,
187 and determining if the muon was traveling downwards into the Earth or up-
188 wards out of the Earth. Since neutrinos can penetrate the Earth but cosmic ray
189 muons cannot, it follows that a muon traveling out of the Earth must have been
190 caused by a neutrino. Thus, by selecting only the muons that are reconstructed
191 as up-going, the cosmic ray muons can, in principle, be removed from the data.

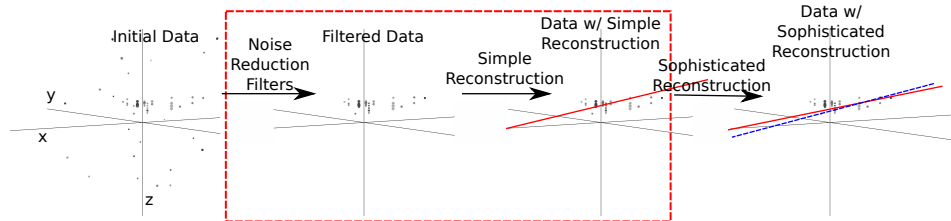


Figure 2: The reconstruction pipeline used to process data in the IceCube detector. After initial data is collected, it is then processed by some simple noise filters, which remove clear outliers. This cleaned data is processed by a simple reconstruction algorithm (red line), which is used as the seed for the more sophisticated reconstruction algorithm (dashed blue line). The sophisticated reconstruction is then evaluated as a potential neutrino. Our work in the reconstruction problem makes changes to the filtering and simple reconstruction step (indicated by the dashed red box).

192 2.1. Challenges in Neutrino Detection

193 Recovering the muon path from the light measurements is the *reconstruction*
 194 *problem*. The reconstruction algorithms used in the detector have several
 195 challenges, which must be overcome. The underlying mechanics are stochastic
 196 and incompletely modeled, the data is noisy and contains outliers, and the
 197 computational abilities of the detector are limited.

198 *Modeling Difficulties.* The underlying physics of the system are nontrivial to
 199 model. The muon's light is scattered by the dust and air crystals in the ice
 200 medium. This scattering is both complex and stochastic, and the scattering
 201 properties of the ice vary with depth [11].

202 *Noise.* An unescapable challenge is the noise inherent in the data. The PMTs
 203 are so sensitive to light that they can record hits even in the absence of nearby
 204 muons. These hits can arise from photons generated either by radioactive decay
 205 inside the PMT or the triboluminescence [12] of the ice.

206 *Computational Constraints.* The reconstruction algorithms are also limited in
 207 complexity by the computing resources available at the South Pole. The path
 208 reconstruction algorithm has to process about 3000 muons per second, so algo-
 209 rithms with excessive computational demands are discouraged.

210 3. Reconstruction Problem

211 By augmenting the reconstruction algorithm with some simple filters and
 212 classical data analysis techniques, we show significant improvement in the re-
 213 construction algorithm's accuracy.

214 *3.1. Prior IceCube Software*

215 The muon path reconstruction process (outlined in Figure 2) starts when
 216 the number of detected hits exceeds a preset threshold and the data collection
 217 step triggers. After the initial data is collected, it then passes through a series
 218 of simple filters to remove obvious outliers, described more in [13].

219 This is followed by a simple reconstruction algorithm *linefit*, which simply
 220 finds the track that minimizes the sum of the squares of the distances between
 221 the track and the hits. More formally, assume there are N hits, and denote the
 222 position and time of the i th hit as \vec{x}_i and t_i respectively. Let the reconstructed
 223 muon path have a velocity of \vec{v} , and let the reconstructed path pass through point
 224 \vec{x}_0 at time t_0 . Then linefit reconstruction solves the *least-squares* optimization
 225 problem

$$\min_{t_0, \vec{x}_0, \vec{v}} \sum_{i=1}^N \rho_i(t_0, \vec{x}_0, \vec{v})^2, \quad (1)$$

226 where

$$\rho_i(t_0, \vec{x}_0, \vec{v}) = \|\vec{v}(t_i - t_0) + \vec{x}_0 - \vec{x}_i\|_2. \quad (2)$$

227 The linefit reconstruction is primarily used to generate an initial track or *seed*
 228 for a more sophisticated reconstruction.

229 The reconstruction algorithm used in the sophisticated reconstruction *SPE*,
 230 is described further in [3]. *SPE* takes as input the least-squares reconstruction
 231 and the event data, and uses a likelihood maximization algorithm to reconstruct
 232 the muon path.

233 *3.2. Algorithm Improvement*

234 *SPE* is dependent on the seed. Given a seed that is inaccurate by greater than
 235 or equal to 6° , *SPE* typically cannot recover, and also produces a reconstruction
 236 inaccurate by greater than or equal to 6° . In addition, the likelihood space for
 237 *SPE* can contain multiple local maxima, so improving the accuracy of a seed
 238 already near the true solution still improves the accuracy of *SPE*. Thus, we
 239 focused our work on improving the quality of the seed.

240 As indicated in Equation 1, a least-squares fit models the muon as a single
 241 point moving in a straight line, and hits are penalized quadratically in their
 242 distance from this line. Thus there is an implicit assumption in this model,
 243 which is that all the hits will be near the muon. There are several pitfalls in
 244 this assumption:

- 245 1. It ignores the scattering effects of the ice medium. Some of the photons can
 246 scatter for over a microsecond, which means that when they are recorded
 247 by a PMT, the muon will be over 300 m away.
- 248 2. While the noise reduction steps remove most of the outlier noise, the noise
 249 hits that survive can be far from the muon. Since these outliers are given
 250 quadratic weight, they exert a huge influence over the model.

251 The first pitfall is a case of the model being incomplete and not modeling
 252 the data, and the second amounts to the model not being robust to noise. Our
 253 solution was twofold: improve the model and increase the noise robustness by
 254 replacing least squares with robust statistical techniques.

255 3.2.1. Improving the Model

256 The least-squares model does not model the scattering, and thus hits gen-
 257 erated by photons that scattered for a significant length of time are not useful
 258 predictors of the muon’s position. We found that a simple filter could identify
 259 these scattered hits, and generate an accuracy improvement of almost a factor
 260 of two by removing them from the dataset.

261 More formally, for each hit h_i , the algorithm looks at all neighboring hits
 262 within a neighborhood of r , and if there exists a neighboring hit h_j with a time
 263 stamp that is t earlier than h_i , then h_i is considered a scattered hit, and is not
 264 used in the simple reconstruction algorithm. Optimal values of r and t were
 265 found to be 156 m and 778 ns by parameter search.

266 3.2.2. Adding Robustness to Noise

267 One of the fundamental problems with least squares is that outliers are given
 268 a quadratic influence, whereas an ideal model would give outliers zero influence.
 269 Such an ideal model does not exist, but classical statistics has developed models
 270 where outliers can be marginalized. We experimented replacing the least-squares
 271 model with a variety of more robust models: a deadzone-linear fit, a one-norm
 272 fit, and a Huber fit [14].

273 Of the models that we tested, the Huber penalty function gave the greatest
 274 increase in reconstruction accuracy. More formally, we replace Equation 1 with
 275 the optimization problem

$$\min_{t_0, \vec{x}_0, \vec{v}} \sum_{i=1}^N \phi(\rho_i(t_0, \vec{x}_0, \vec{v})), \quad (3)$$

276 where the Huber penalty function $\phi(\rho)$ is defined as

$$\phi(\rho) \equiv \begin{cases} \rho^2 & \text{if } \rho < \mu \\ \mu(2\rho - \mu) & \text{if } \rho \geq \mu \end{cases}. \quad (4)$$

277 Here, $\rho_i(t_0, \vec{x}, \vec{v})$ is defined in Equation 2 and μ is a constant calibrated to the
 278 data (in our work, the optimal value of μ is 153 m).

279 The Huber penalty function has two regimes. In the near-hit regime ($\rho < \mu$)
 280 hits are assumed to be strongly correlated with the muon’s path, and the Huber
 281 penalty function behaves like least squares, giving these hits quadratic weight.
 282 In the far-hit regime ($\rho \geq \mu$), hits are given linear weights as they are more
 283 likely to be noise.

284 In addition to its attractive robustness properties, the Huber fit’s weight
 285 assignment also has the added benefit that it inherently labels points as outliers
 286 (those with $\rho \geq \mu$). Thus, once the Huber fit is computed, we can go one step

Table 1: Median angular resolution (degrees) for reconstruction improvements. The first line is the accuracy of the prior least-squares model, and the subsequent lines are the accuracy measurements from cumulatively adding improvements into the simple reconstruction algorithm.

Algorithm	θ_{med}
Linefit Reconstruction (Least-Squares)	9.917
With Addition of Logical Filter	5.205
With Addition of Huber Regression	4.672
With Addition of Outlier Removal	4.211

287 farther and simply remove the labeled outliers from the dataset. A better fit is
 288 then obtained by computing the least-squares fit on the data with the outliers
 289 removed.

290 3.3. Results

291 To measure the improvement generated by our changes, we use the metric of
 292 *median angular resolution* θ_{med} , which is a standard metric used in the collab-
 293 oration. The angular resolution of a reconstruction is the arc-distance between
 294 the reconstruction and the true path. Removing the scattered hits and adding
 295 robustness to the model generates measurable a improvement to the model's
 296 accuracy, as shown in Table 1.

297 We can improve the median angular resolution of the simple reconstruction
 298 by 57.6%. Seeding SPE with the improved simple reconstruction generates an
 299 improvement in the angular resolution of 12.9%. These improvements in the
 300 reconstruction algorithm result in 10% fewer atmospheric muons erroneously
 301 reconstructed as up-going, and 1% more muons correctly reconstructed as up-
 302 going.

303 4. Coincident Event Problem

304 In our second experiment, we look at the problem of determining when more
 305 than one muon has entered the detector. In the most common case, a single
 306 muon will pass through the detector and generate an event before exiting. These
 307 events are processed by the pipeline described in Figure 2. However, for roughly
 308 9% of the events collected by the data collection algorithm, more than one muon
 309 will be passing through the detector simultaneously, an occurrence known as a
 310 *coincident event*.

311 One of the primary sources of background noise in the scientific analyses
 312 of the IceCube Collaboration is coincident background muons that have been
 313 erroneously reconstructed as neutrinos. To see why this occurs, consider the
 314 coincident event shown in Figure 3. There are two clear groups of hits; how-
 315 ever, the reconstruction algorithm treats them as a single group, resulting in a
 316 erroneous reconstruction. In the ideal case, the reconstruction algorithm would
 317 identify coincident events and split them, as in Figure 4.

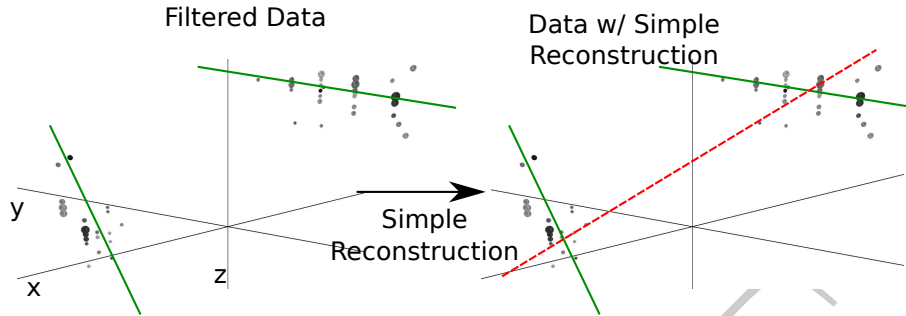


Figure 3: In this example, an event that is clearly composed of two muons (actual tracks shown as green thick lines) is treated as a single muon, and thus the reconstruction (shown in dashed red) is inaccurate.

318 The challenge in this example is determining the number of muons in an
 319 event. In our results, we find that a simple spatial clustering algorithm can
 320 solve this classification problem with less than 2% error.

321 4.1. Prior IceCube Software

322 Coincident events have been a concern in the IceCube analysis [15] for years,
 323 and some software has been developed to handle coincident events. As a baseline
 324 of comparison, we use the *TTrigger* software, which is described in [16].

325 4.2. Algorithm Improvement

326 Our solution to this problem is a proximal clustering algorithm. The intu-
 327 ition in proximal clustering is that points local in space and time are probably
 328 from the same muon. The proximal clustering algorithm iterates through each
 329 pair of hits (i, j) and builds an adjacency matrix \mathbf{A} as

$$\mathbf{A}_{ij} = \begin{cases} 1 & \text{if } \|\Delta x^2 + \Delta y^2 + \Delta z^2 + (c\Delta t)^2\|_2 \leq \alpha, \\ 0 & \text{otherwise} \end{cases} \quad (5)$$

330 where $\Delta x, \Delta y, \Delta z$ and Δt are the space and time differences between the pair of
 331 hits, and α is tuned to the data. The clustering can be recovered by extracting
 332 the connected components of the graph defined by \mathbf{A} .

333 4.2.1. Improving the Model

334 When implemented naively, proximal clustering succeeded for the majority
 335 of the events, but failed if there was a gap in the muon track, which can occur
 336 when the muon travels through dusty ice. If there is a significantly large gap,
 337 the algorithm erroneously separates the hits into two clusters.

338 To get around this, an additional heuristic is added, *track connecting*. Af-
 339 ter the data segmentation is finished, track connecting determines if separate
 340 clusters should be combined. It computes the mean position and time of each

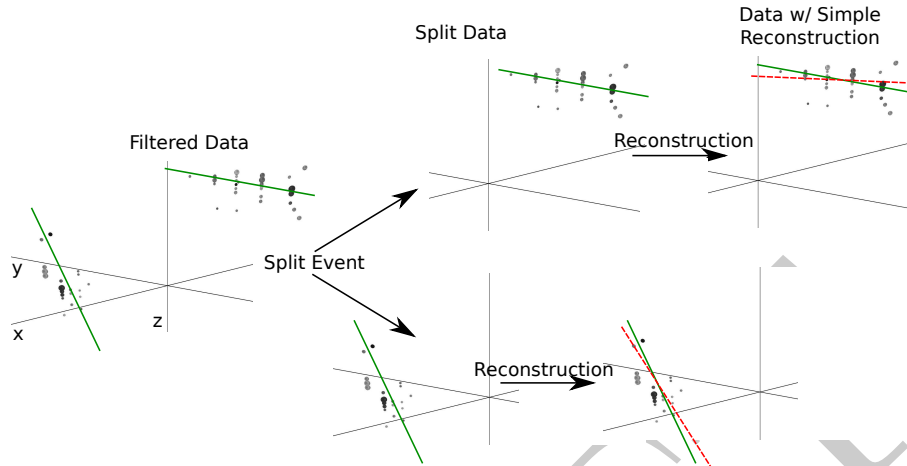


Figure 4: Ideally, the detector would split coincident events before computing the reconstruction. Splitting the event results in more accurate reconstructions (reconstructions shown in red, true muon tracks shown in green). Note the difference in the reconstructions compared with Figure 3.

341 cluster, and connects a hypothetical muon track t between each pair of sub-
 342 spaces.

343 It checks if the speed s of the hypothetical path is within 25% of the speed of
 344 light c , and it checks that the mean distance between hits and t in both clusters
 345 is less than 60 m. If t passes both checks, the clusters are combined.

346 4.2.2. Adding Robustness to Noise

347 Proximal clustering is susceptible to noise. Noise hits close to two disjoint
 348 tracks will be considered adjacent to both tracks, connecting the two tracks in
 349 the adjacency matrix.

350 One heuristic that worked well at mitigating this problem was to use all the
 351 hits in building the adjacency matrix. During data collection, some hits are
 352 marked as coincident, which indicates that both they and a neighboring PMT
 353 reported a hit. These hits have a high probability of not being noise hits, and
 354 thus exclusively using them to build the adjacency matrix mitigates the problem
 355 of erroneously connecting two tracks.

356 After the proximal clustering algorithm has extracted the tracks from the
 357 adjacency matrix, the hits not used in the construction of the adjacency matrix
 358 are simply assigned to the closest reconstructed track.

359 4.3. Results

360 There were two competing goals for coincident event detection algorithms:
 361 the algorithm should be conservative enough that events containing single paths
 362 are not erroneously split, and aggressive enough that a useful fraction of coin-
 363 cident events are split correctly. Erroneously discarding events containing neu-
 364 trinos is worse than erroneously allowing additional noise into the data pool,

Table 2: Error Rates for Classification Algorithms

Algorithm	$E_{\text{Single}} \%$	$E_{\text{Multiple}} \%$	$E_{\text{tot}} \%$
Trivial	0.0	100.0	8.3
TTrigger	11.5	31.8	13.2
Proximal clustering	0.2	18.9	1.8

365 as noise can be eliminated by future filtering of the data pool. Our algorithm
 366 is tuned to keep almost all of the single events correctly unsplit, while still
 367 correctly splitting 80% of the coincident events.

368 4.3.1. Measurements

369 We modified the reconstruction pipeline shown in Figure 2, in between the
 370 noise cleaning and the simple reconstruction, by adding a step for coincident
 371 event detection, as shown in Figure 4. This step takes cleaned data and attempts
 372 to classify the event as a single-track or multiple-track event.

373 We ran each algorithm on two datasets of simulated data. One dataset
 374 comprised single-muon events, and the other dataset comprised multiple-muon
 375 events. In each dataset, we measured the classification error E , which is the
 376 fraction of events that were misclassified. To get a global measurement, we
 377 compute the *total error* E_{tot} , defined as

$$E_{\text{tot}} = w_{\text{Single}} E_{\text{Single}} + w_{\text{Multiple}} E_{\text{Multiple}}. \quad (6)$$

378 For computing E_{tot} , we use $w_{\text{Single}} = 0.917$ and $w_{\text{Multiple}} = 0.083$, which is
 379 the frequency in which single-muon and multiple-muon events appear in data
 380 simulating the distribution of events that trigger the reconstruction algorithm.

381 We present our results for the coincident event problem by measuring how
 382 well each algorithm performs at determining the number of subspaces in an
 383 event.

384 There are two natural comparisons for our work: the prior software TTrigger,
 385 as well as the trivial algorithm, which always classifies each event as a single-
 386 track event. Clearly, the latter will always get the single-track events correct,
 387 and always get the multiple-track events wrong. We provide a comparison of
 388 these techniques in Table 2. As shown, our software classifies the number of
 389 muons in the detector 86% better than TTrigger.

390 5. Conclusions

391 The problems in the IceCube detector are complex, and the data is noisy
 392 and contaminated with outliers. Despite the complexity of the problems, we
 393 found that we can achieve significant improvement via classical data analysis
 394 algorithms and simple models.

395 We looked at the problem of general reconstruction improvement, and found
 396 that by applying a simple filter to the data and adding some robustness to the

397 fitting algorithm, we got superior reconstructions in the noisy environments of
398 the IceCube data. Our reconstruction software runs on-site, and is included in
399 all IceCube analysis.

400 We also looked at the problem of determining the number of muons in the
401 detector. We found that proximal clustering, the simplest algorithm that we
402 tried, was as good as or better than all other tested algorithms. Our proximal
403 clustering algorithm was an 86% improvement over the current software.

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