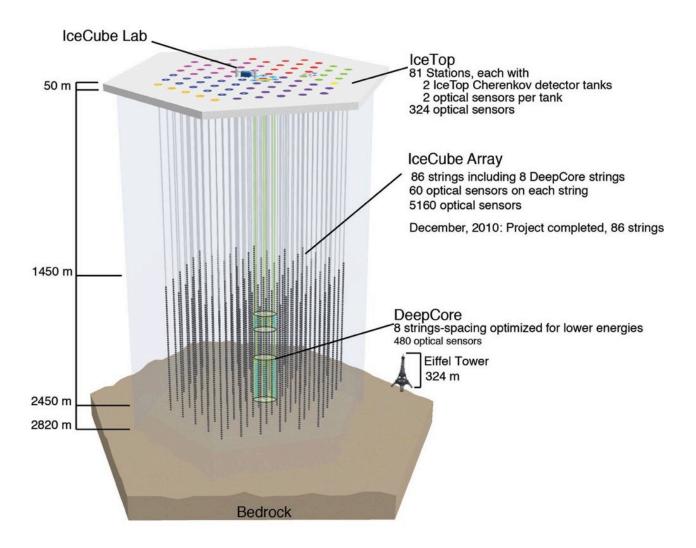


Brief Bio – Kael Hanson

Education University of Michigan (2000) PhD Physics MACRO detector at Gran Sasso

- Joined IceCube @ UPenn in 2000 when it was still AMANDA.
- Began with UW-Madison in 2002 shortly after IceCube MREFC started as postdoc.
- Led In-Ice Devices (2003-2006) and Instrumentation (2006-2008)
- Spent 6 years as faculty at Université Libre de Bruxelles (2008-2014)
- Rejoined UW-Madison as faculty / IceCube Director (2014)
- PI of Upgrade project.

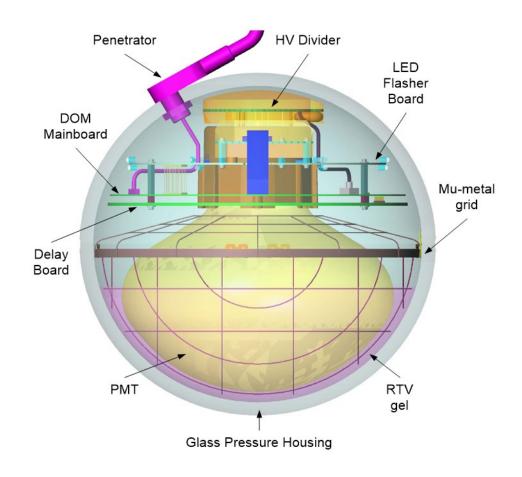
IceCube: The Detector Array







IceCube Detector Element: The Digital Optical Module











Detecting Neutrinos in the Ice

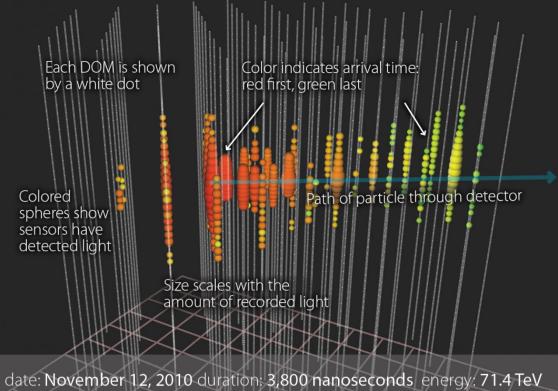
IceCube is a "water" Cherenkov detector: we detect the charged ultra-relativistic secondaries which are produced in neutrino scattering in ice or detect CR muons and their stochastic secondaries.

Ice is a good calorimetric medium.

Something about angular resolution.

How does IceCube work?

When a neutrino interacts with the Antarctic ice, it creates other particles. In this event graphic, a muon was created that traveled through the detector almost at the speed of light. The pattern and the amount of light recorded by the IceCube sensors indicate the particle's direction and energy.



declination: -0.4° right ascension: 110° nickname: Dr. Strangepork

The IceCube Upgrade

Infill array for neutrino physics and precision characterization of the ice.

equirements cience

SCIENCE OBJECTIVES - THE ICECUBE UPGRADE **Charge Question ST1** Tau Neutrino Ice Characterization for Appearance and the Neutrino Oscillations Indirect Dark Matter Sterile Neutrinos (2.2) better LE & HE flavor Unitarity of the PMNS (2.2)(2.2)physics (2.3) Matrix (2.1) Event Energy Range few to 100 GeV few to 100 GeV TeV to >PeV Any Any Measurement in 2-3 5-10% tau Expected Detectable Event Rate detection/improved detection/improved 100s / year measurement years Desired Angular Resolution <5 deg at O(20 GeV) Time Resolution Within Event 2-5 ns 2-5ns Absolute Time Accuracy 50 ns Instrumented Ice Volume About 2 million cubic meters Array Shape Compact Effective Volume Varies with energy level and event orientation (derived from other properties) Number of Strings multi-PMT Digital Optical 108 (90 in the dense physics region, others above and below for primarily calibration purposes) - 46 mDOMs, 38 D-Eggs, & 6 pDOMs Modules (mDOM) per String Total Number of mDOM ~750 (photocathode area is key parameter here) mDOM Spacing - Horizontal 22 meters (compromise between closer and drill constraints) mDOM Spacing - Vertical 3.0 m Physics region: 2150-2425m Upper region: 1450-2150 Deep region: 2425-2600m Detector Depth Sensitivity of mDOM Single Photo Electron (SPE) mDOM Photon Event Dynamic SPE to >200 PE / 15 ns Range mDOM Field of View Spherical with <10% variation, except for cable shaddowing. Digitization Rate 300 megasamples / second Waveforms < 400 ns Digitization Rate 40 megasamples / second Waveforms > 400 ns Absolute Amplitude Calibration < 5 % Accuracy Timing Accuracy < 5 ns mDOM Noise Rate O(10kHz) total noise rate, <850 Hz per PMT mDOM Data Processing Initial waveform capture and digitization in DOM, context sensitive compression of data prior to transfer Local Coincidence Function In mDOMs, might require N of 24 PMTs hit within time window to suppress noise. **Event Trigger Function** Global (surface) trigger logic to package event data and discriminate noise Veto Function Surface Array (IceTop) allows identification and discrimination of downgoing background Incoming Data Stream from 150 Gig / day Sensor Array Non-Volatile Storage at South 1-2 Day Buffer / Archive Capacity & Full Redundancy Requirements South Pole High Priority At all times, it must be possible to complete a minimum 10KB transfer to the Northern Hemisphere within 10 minute period. (SNEWS and GRB Reporting) Communications South Pole Medium Priority 500 MB / day Communications South Pole High Volume Data >30 GB / day Northern Hemisphere Data Fully Buffered / Archive Capacity & Redundancy Requirements Warehouse

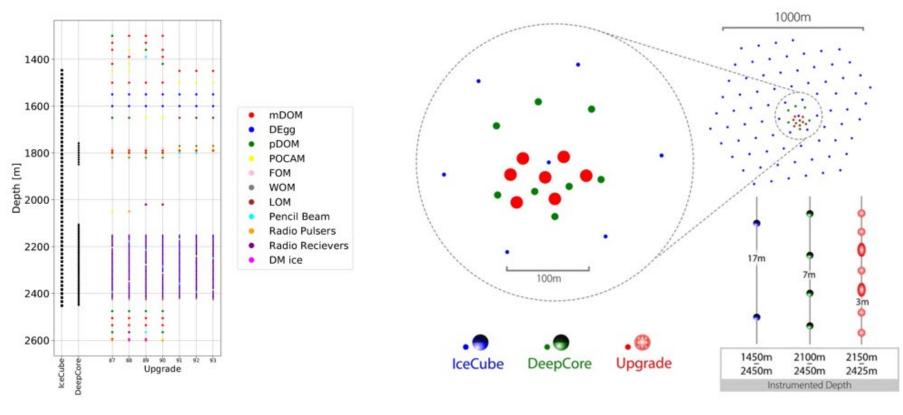
Scientific Goals -> Technical Requirements

- Module design (segmented PMT) + array geometry (photocathode density) determine reconstruction accuracy in function of E
- Array geometry (instrumented volume) determines event rate
- IceCube provides surrounding veto for background elimination
- New calibration instruments (cameras, flashers, POCAM, Pencil Beam) probe ice properties on baselines shorter than 1 scattering length and with enhanced precision.
- Increased instrumentation density → more modules per wire pair → power
 + B/W constraints
- Upgrade array must interoperate seamlessly with IceCube ("just another string or set of strings") – module communication standardized by ICM ("all devices speak DOM")





Project Objectives (Unchanged Since 2016)



7 strings - 693 Optical sensors:

- 277 D-Eggs (2x 8" PMT)
- 402 mDOMs (24x 3" PMT)
- 14 PDOMs
- Calibration devices

2 Mton effective volume for LE neutrino events:

- trigger down to 1 GeV
- 90% efficient at 3 GeV

Single Drill / Install Season

- 1. Neutrino Properties
- 2. Recalibration and Reanalysis of IceCube Data
- 3. IceCube-Gen2 Research and Development





The Four Pillars of the Upgrade

National Science Foundation

MPS/PHY Funded (\$22.983M original TPC)

GEO/OPP provides logistics support including cargo, fuel, on-ice field work and population support **not costed in Project budget.**

IceCube Upgrade Project

IceCube M&O

IceCube Collaboration

- Project Management
- Drilling & Installation
- Sensors
- Cable Systems
- Calibration
- M&O Data Systems Integration

Supports integration of Upgrade Project into IceCube Detector and Software Systems – much of M&O Data Systems labor resources are supported by the M&O program.

Upgrade will transition into mature operations program at little additional cost.

Collaboration in-kind contributions of instrumentation: D-Eggs, mDOMs, calibration devices, and downhole raw cable – approx. \$14M (F. Feyzi presentation in PM breakout)

Collaboration labor and computing resources coordinated through M&O structures.







GERMANY

Deutsches Elektronen-Synchrotron ECAP, Universität Erlangen-Nürnberg Humboldt–Universität zu Berlin Karlsruhe Institute of Technology Ruhr-Universität Bochum RWTH Aachen University Technische Universität Dortmund Technische Universität München Universität Mainz Universität Wuppertal Westfälische Wilhelms-Universität Münster

NEW ZEALAND University of Canterbury

REPUBLIC OF KOREA Sungkyunkwan University

SWEDEN

Stockholms universitet Uppsala universitet

SWITZERLAND Université de Genève

UNITED STATES

Clark Atlanta University **Drexel University** Georgia Institute of Technology Harvard University Lawrence Berkeley National Lab Loyola University Chicago Marquette University Massachusetts Institute of Technology Mercer University Michigan State University **Ohio State University** Pennsylvania State University

South Dakota School of Mines and Technology Southern University and A&M College Stony Brook University University of Alabama University of Alaska Anchorage University of California, Berkeley University of California, Irvine University of Delaware University of Kansas University of Maryland

University of Rochester University of Texas at Arlington University of Utah University of Wisconsin-Madison University of Wisconsin–River Falls Yale University

FUNDING AGENCIES

Fonds de la Recherche Scientifique (FRS-FNRS) Fonds-Wetenschappelijk Onderzoek-Vlaanderen German Research Foundation (DFG) Deutsches Elektronen-Synchrotron (DESY)

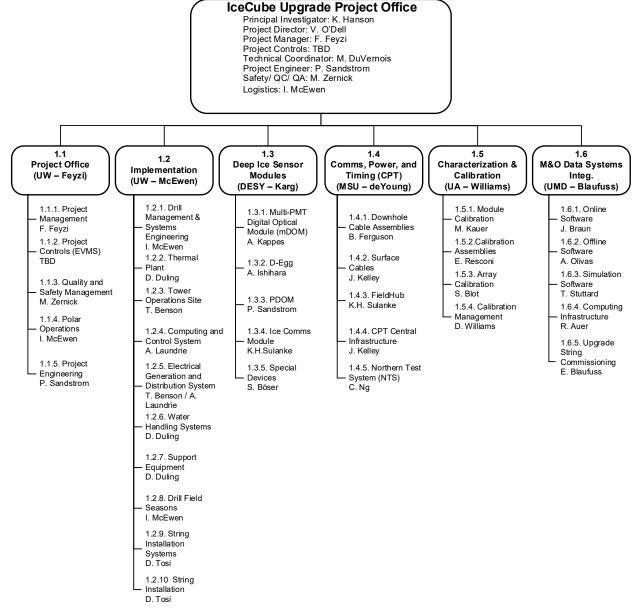
The Swedish Research Council (VR) Federal Ministry of Education and Research (BMBF) Japan Society for the Promotion of Science (JSPS) University of Wisconsin Alumni Research Foundation (WARF) Knut and Alice Wallenberg Foundation US National Science Foundation (NSF)



Charge Question M1

Following talks by L2 managers will go into further detail on major deliverable areas. WBS deliverables defined through *WBS Dictionary* to L4 *and* under change control.

WBS Tree structured functionally not by institution.





Cost (vs Time)

1

Increased On-Project Cost

2018 Initial
Cooperative
Agreement Budget
\$20.1M Base
\$2.8M Contingency

Add PO & technical staff
Surface cables

COVID

Loss of 3 field seasons

60 months → 91 months

Additional in-kind contribution of PMTs from KIT

Reuse EHWD Generators instead of MicroTurbines

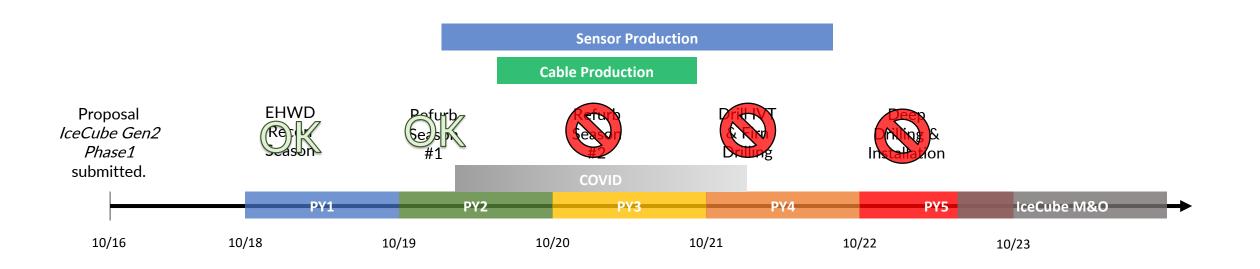
2022 Rebaseline \$32.2M Base \$3.7M Contingency



Decreased On-Project Cost

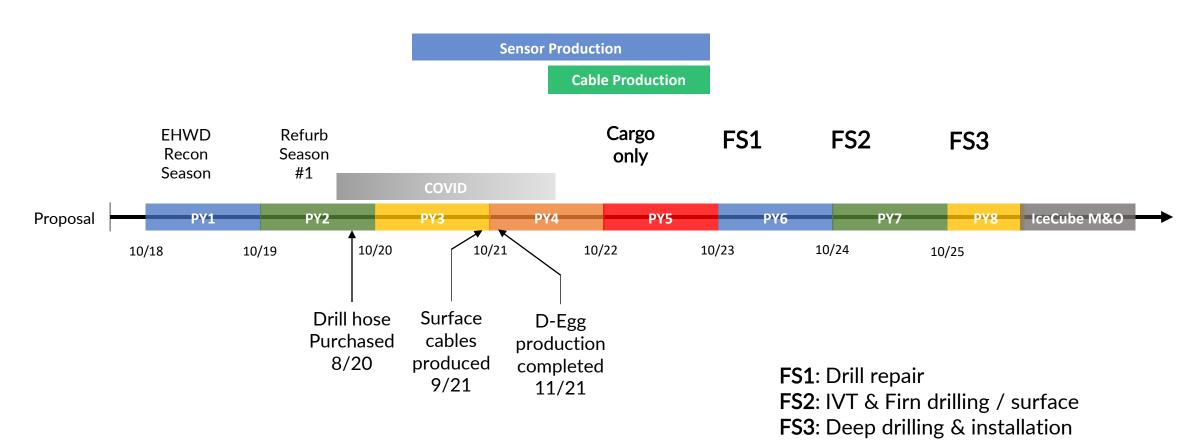


Simplified Project Timeline - Baseline Plan





Simplified Project Timeline - Rebaseline 2022







Hand-Off To IceCube Operations

- Handoff to IceCube M&O following FY26 drilling, installation, commissioning of strings: Milestone date is 04/06/2026 this is within the first week of the next M&O cycle.
- Project complete 04/30/2026.
- Drill equipment packed during FY26 season, ready to retro.
- Retro to occur with small field team under M&O following season, or, alternatively equipment could remain on site for IceCube-Gen2 activities.





Considerations for the Next 2 Days

- Upgrade scientific objectives and technical scope remain unchanged 5 years after original proposal.
- The project has made significant progress: 300 of the 700 DOMs are completed; drill has completed recon and repair seasons; over \$2.5M in major equipment purchases.
- Three-year delay principally due to COVID the project has matured as a result and developed the rigor not only to complete the Upgrade scope, but also to transition to future larger-scale endeavors.

