

## 2 **In-situ calibration of the single photoelectron** 3 **charge response of the IceCube photomultipliers**

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4 **ABSTRACT:** This technical report outlines the in-situ calibration of the single photoelectron charge distributions for the Hamamatsu Photonics R7081-02 photomultipliers in the IceCube Neutrino Observatory. We discuss the single photoelectron extraction procedure, charge selection criteria, and report on various correlations between the shape of the charge distribution and hardware components. The time dependence of the charge distributions is also investigated.

5 **KEYWORDS:** IceCube, SPE Template, single photoelectron, PMT charge distribution.

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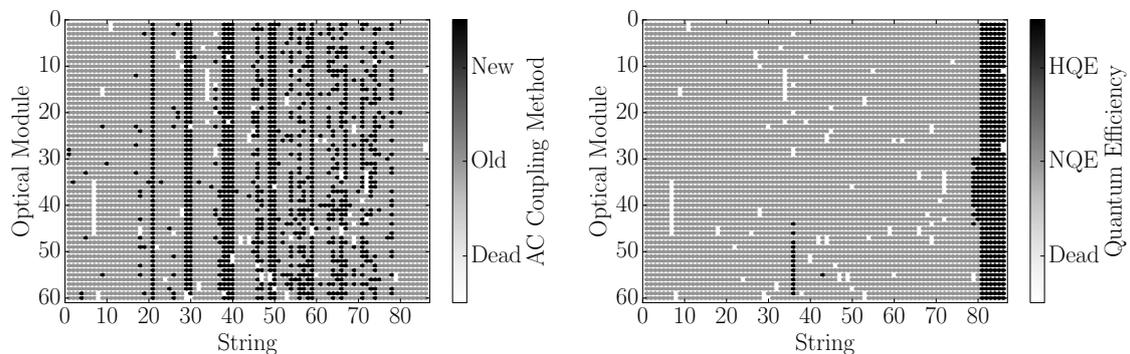
## 26 1. Introduction

27 The IceCube Neutrino Observatory [1] is a cubic-kilometer sized array of 5,160 photomultiplier  
 28 tubes (PMTs) buried in the Antarctic ice sheet designed to observe high energy neutrinos interacting  
 29 with the ice [2]. As of 2011, the IceCube collaboration completed the installation of the main  
 30 IceCube detector consisting of 78 cables, so called strings, and the low energy infill, DeepCore,  
 31 consisting of a more densely arranged array of 8 strings. Each string in the detector contains 60  
 32 digital optical modules (DOMs), that house a single PMT each, as well as all required electronics.  
 33 The DOMs extend from roughly 1450 m to 2450 m below the surface of the ice sheet and are spaced  
 34 roughly 17 m apart in the IceCube detector and 7 m apart in the DeepCore detector.

35 Each DOM consists of a 0.5" thick glass pressure vessel with a single down-facing 10" R7081-  
 36 02 PMT from Hamamatsu Photonics [3]. The PMT is specified for wavelengths ranging from  
 37 300 nm to 650 nm, with peak quantum efficiency around 25% near 390 nm. Each PMT is coupled  
 38 to the glass with optical gel and is surrounded by a wire mesh of  $\mu$ -metal to reduce the effect of the

39 ambient Earth’s magnetic field. The optical cut-off due to the glass is approximately 350 nm. The  
 40 R7081-02 has 10 dynode stages and is typically operated with a gain of  $10^7$  at 1300 V (a properly  
 41 amplified single photoelectron will create a  $\approx 6$  mV peak voltage). The PMTs operate with the  
 42 anodes at high voltage, therefore the signal is AC coupled to the front-end amplifiers. There are  
 43 two versions of AC coupling in the detector both of which use custom designed bifilar-wound 1:1  
 44 toroidal transformers (the DOM specific AC coupling methods, new and old toroids, are shown in  
 45 the left side of Fig. 1).

46 IceCube has also deployed roughly 400 Hamamatsu R7081-02MOD DOMs [4], which, having  
 47 a peak quantum efficiency of 34% near 390 nm (36% higher efficiency than the standard DOMs),  
 48 are classified as high-quantum efficiency (HQE) DOMs. These DOMs are primarily located in  
 49 DeepCore, however there are a few located on string 36 and 43 as well, as shown in the center of  
 50 Fig. 1. Further information on the detector instrumentation can be found in Ref. [5, 6].



**Figure 1.** Left: The method of AC coupling, new toroids and old toroids. Middle: Mapping showing the HQE DOMs and standard DOMs. These figures also show the location of the dead DOMs in white.

51 The largest contribution to the IceCube trigger rate comes from down-going muons produced  
 52 in cosmic ray induced showers [7]. Cosmic ray muons stopping in the detector cause the individ-  
 53 ual DOM launch rate to decrease at lower depths. Further, during the formation of this ice sheet,  
 54 there have been several periods of colder climate (stadials) that have caused vastly different optical  
 55 properties in the ice at different depths. The optical properties also affect the DOM launch rate,  
 56 in particular, the “dust layer” from roughly 2100 to 2200 m (optical modules 32-38 in the IceCube  
 57 detector) below the surface is a region in the ice with a relatively large scattering and absorption co-  
 58 efficient. These factors can cause the DOM trigger rates to vary by nearly a factor of 10 depending  
 59 on the depth in the detector.

60 IceCube relies on two observables per DOM to reconstruct events: the total number of detected  
 61 photons (referred to as *charge*, after the PMT dynode stage) and their timing distribution. This  
 62 technical report is concerned with accurately determining how the DOMs collect charge in order to  
 63 improve calibration and the description of the detector in the Monte Carlo simulation. It describes  
 64 the procedure used to determine the PMTs gain characteristics as seen in the single photoelectron  
 65 charge distributions (SPE templates) using in-situ data from the IceCube and DeepCore detectors.  
 66 This was recently made possible by reducing the multi-PE contamination using a specially designed  
 67 pulse selection, and developing a method to account for the remaining multi-PE contamination  
 68 when fitting for the single photoelectron distribution.

69 In using in-situ data to measure the charge distributions, we accurately represent the individual  
70 PMT response as a function of time, environmental conditions, software version, hardware differ-  
71 ences, and sample photons uniformly over the surface of the photocathode. This is beneficial since  
72 it also allows us to inspect the stability and long term behavior of the individual DOMs, verify  
73 previous calibration, and correlate features and environment to DOM behavior.

## 74 **1.1 Single photoelectron charge distributions**

75 In an idealistic scenario, a single photon produces a single photoelectron, which is then amplified  
76 by a known amount and the measured charge corresponds to 1PE. However, there are many physical  
77 processes which create structure in the measured charge distributions. For example:

- 78 • **Statistical fluctuation due to cascade multiplication** [8]. At every stage of dynode ampli-  
79 fication, there is a stochastic spread in the number of emitted electrons that make it to the  
80 next dynode. This in turn causes a spread in the measured charge after the gain stage of the  
81 PMT.
- 82 • **Photoelectron trajectory**. Some electrons may deviate from the favorable trajectory, reduc-  
83 ing the effective multiplication. This can occur at all dynodes, however, it has the largest  
84 effect on the multiplication at the first photoelectron [9]. The trajectory of the photoelectron  
85 striking the first dynode will depend on many things, include where on the photocathode it  
86 was emitted, the uniformity of the electric field, the size and shape of the dynode [8], and the  
87 magnetic field [10, 11].
- 88 • **Late or delayed pulses**. A photoelectron can (in-)elastically scatter off the first dynode. The  
89 scattered electron can then be re-accelerated to the dynode, and creates a second pulse that is  
90 also lower in charge. The difference in time between the initial pulse and the re-accelerated  
91 pulse in the R7081-02 was previously measured to be up to 70 ns [6, 12]. Collecting either the  
92 initial pulse or the late pulse will result in the charge falling into the low-PE charge region.
- 93 • **After-pulses**. As the electrons gain energy in the cascade multiplication chain, they can  
94 ionize residual gas between dynodes, which then can itself accelerate towards the dynodes.  
95 For the IceCube PMTs, the timescale for after-pulses was measured to occur roughly 0.3 to  
96 12  $\mu$ s after the initial pulse [6]. This populates the low-PE charge region since some of the  
97 energy of the electron avalanche goes into the ionization of the residual gas.
- 98 • **Pre-pulses**. If the incident photon passes through the photocathode without interaction and  
99 strikes one of the dynodes, it can eject an electron thus causing the measured charge to be  
100 lower. For the IceCube PMTs, the pre-pulses were found to arrive approximately 30 ns before  
101 the signal from other photoelectrons from the photocathode [6]. Further detail is available in  
102 Ref. [13].
- 103 • **Multi-PE contamination**. When multiple photoelectrons arrive at the dynodes within sev-  
104 eral nanoseconds of each other, they can be reconstructed by the software as a single, multi-  
105 PE pulse.

106 The previous IceCube charge distribution (known as the TA0003 distribution) modeled the  
 107 above effects as the sum of an exponential plus a Gaussian, where the exponential represented  
 108 poorly amplified pulses, and the Gaussian represented the spread in properly amplified pulses.  
 109 Subsequent measurements illustrated that when measuring charge below the discriminator, the de-  
 110 scription of the shape was improved with the addition of a second, steeply falling exponential  
 111 ( $\text{Exp}_1$ ) to account for the low-PE charge region:

$$f(q) = E_1 e^{-q/w_1} + E_2 e^{-q/w_2} + N e^{-0.5 \frac{(q-\mu)^2}{\sigma^2}}. \quad (1.1)$$

112 This is the SPE template functional form that is used in this report. IceCube calibrates the gain on  
 113 the individual DOMs during the start of each season to ensure that the Gaussian mean component,  
 114  $\mu$ , of the SPE template (which defines 1PE) equals  $10^7$  electrons.

115 The shape of  $f(q)$  is finite down to 0PE, however due to the discrete nature of the ADC and  
 116 the fluctuations about the baseline, some assumption on the shape must be inferred in the low-PE  
 117 charge region.

118 The multi-PE contamination to the charge distribution is assumed to be the convolution of  
 119 the SPE distribution multiple times [14]. That is, the two-PE distribution is assumed to be  
 120 the SPE distribution convolved with itself. A python based piece of software called the "convolutional  
 121 fitter" is used to determine the components of Eq. 1.1.

## 122 1.2 IceCube datasets and software definitions

123 An induced signal in the PMT will pass through the AC coupling toroid located on the base of the  
 124 PMT, then be compared to a discriminator threshold set to 0.25 PE. The crossing of the discrimi-  
 125 nator threshold begins a "DOM launch" and the waveforms are recorded with a high-speed 10-bit  
 126 waveform digitizer (Analog Transient Waveform Digitizer, ATWD).

127 For each triggered window, the ATWD samples 128 times at 300 MHz. In order to be able to  
 128 trigger the ATWD and record baseline data prior to the pulse, the analog input from the PMT is  
 129 sent through a delay board, which delays the signal by approximately 75 ns.

130 After waveform digitization, there is a correction applied to remove any DC baseline offset  
 131 and correct for the signal droop introduced by the AC coupling. The waveform is then passed  
 132 through pulse extraction software (WaveDeform [15]) to de-convolute the waveform into a so-  
 133 called *pulse series* of scaled SPE pulses, each with a time and charge in terms of SPE. WaveDeform  
 134 also attempts to take into account the SPE waveform shape difference between the new and old  
 135 versions of AC coupling.

136 The pulse series used in this analysis come from two datasets:

- 137 1. The **MinBias dataset** records the full waveform of randomly selected events, at a rate that  
 138 corresponds on average to 1/1000 events. This dataset is used for determining the individual  
 139 DOM charge distributions.
- 140 2. The **BeaconLaunch dataset** is a forced-trigger (not triggered by the discriminator) filter  
 141 that is typically used to monitor the individual DOM baseline. It therefore also includes the  
 142 full window waveform readout. Since this dataset is forced-triggered, the majority of these  
 143 waveforms represent baseline fluctuations, however there will be the occasional coincidental

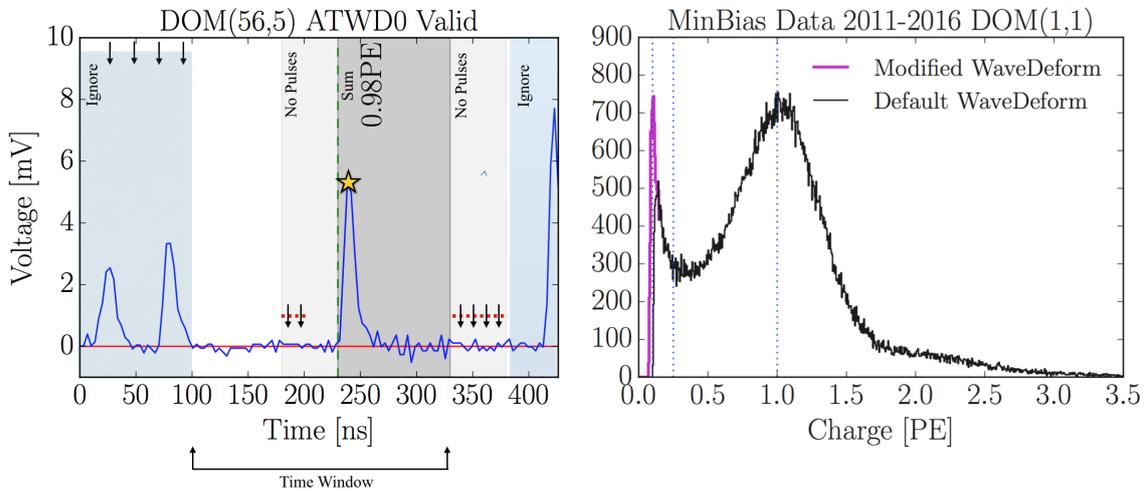
144 pulse that makes it into the readout window. This dataset will be used to examine the noise  
 145 contribution to the charge distributions.

146 This analysis uses the full MinBias and BeaconLaunch datasets from IceCube season 2011 to  
 147 2016. Seasons in IceCube typically start in June of the labeled year and end roughly one year later.

## 148 2. Single photoelectron pulse selection

149 The pulse selection is the method used to extract candidate, unbiased, single photoelectrons from  
 150 data. An illustrative digram of the pulse selection is shown in the left side of Fig. 2, and a descrip-  
 151 tion of the procedure is detailed below.

152 In order to trigger a DOM, the ATWD voltage must exceed the discriminator threshold. Since  
 153 the SPE templates must be defined to 0PE, the aim is to characterize the measured charge distri-  
 154 bution to as low-PE charge as possible. This means that the pulses subject to the discriminator  
 155 must be removed. This is accomplished by ignoring pulses that arrive within the first 100 ns of  
 156 the time window. The triggering pulse is removed by rejecting the first 100 ns of the time window.  
 157 Restrictions are put on the allowed waveforms as well, such as ensuring that the trigger pulse does  
 158 not exceed 10 mV (to reduce droop due to the AC coupling) as well as a global constraint that the  
 159 time window cannot contain any pulses that exceeds 20 mV. Pulses that arrive over 400 ns after the  
 160 trigger may be partially attributed to after pulses, therefore, we do not accept pulses that arrive late  
 161 in the time window (over 375 ns after the trigger). Finally, to avoid including late-pulses from the  
 162 trigger, we also enforce that the pulse of interest (POI) arrives later than 100 ns after the trigger.



**Figure 2.** Left: The pulse selection criteria for a selecting a high purity and unbiased sample of single photoelectrons. Right: the collected charges from string 1, optical module 1 (DOM 1,1) from the MinBias data collected from 2011 to 2016 using the pulse selection. The discriminator threshold at 0.25PE is shown as a dotted vertical line (as well as lines at 0.10PE and 1PE). The black histogram is the charge distribution using the non-modified WaveDeform, whereas the purple low-PE component is measured using a modified version of WaveDeform described in Sec. 3.

163 If a pulse is reconstructed between 100 and 375 ns after the time window is opened, it is  
164 accepted as a candidate photoelectron and several checks are performed to ensure the stability of  
165 the waveform. The first check is to ensure that the waveform is at the baseline just prior to the  
166 rising edge of the POI. This is accomplished by ensuring that the waveform does not exceed 1 mV,  
167 50 to 20 ns prior to the POI. We also ensure the waveform returns to the baseline by checking  
168 that no ADC measurement exceeds 1 mV, 100 to 150 ns after the POI. If both these criteria are  
169 met, we sum the reconstructed charges from the pulse time (given by WaveDeform) to +100 ns.  
170 The purpose of this summation is to reassemble charges that may have accidentally been split by  
171 WaveDeform and to reassemble late-pulses. This also means that we will occasionally be accepting  
172 multi-PE events.

173 The pulse selection provides a relatively pure sample of single photoelectrons (as shown in the  
174 black histogram on the right side of Fig. 2. It rejects after-pulses, reassembles late pulses, avoids  
175 the discriminator threshold, reduces the effect of droop/sag, gives sufficient statistics to perform a  
176 season-to-season measurement, and has a minimal amount of multi-PE contamination.

177 The right side of Fig. 2 also shows that there is a second threshold (in the black histogram)  
178 at approximately 0.15PE. This is a software defined threshold that comes from WaveDeform not  
179 attempting to deconvolve charges smaller than a certain size. This threshold is not sharply defined,  
180 therefore it is difficult to draw conclusions about the low-PE tail without further investigation.  
181 Determining the shape of the low-PE charge region involves modifying WaveDeform.

### 182 **3. Characterizing the low-PE charge region**

183 IceCube has performed several lab measurements using the IceCube PMTs with in-time laser pulses  
184 that have shown a steeply falling low-PE tail below the discriminator threshold. This is in agree-  
185 ment with the in-ice measurements performed by this analysis. In order to reconstruct smaller  
186 charges, Wavedeform was minimally modified to access smaller charges in the pulse selection.  
187 The modifications brought the reconstruction threshold down below 0.10PE, as shown in the pur-  
188 ple histogram of the right side of Fig. 2.

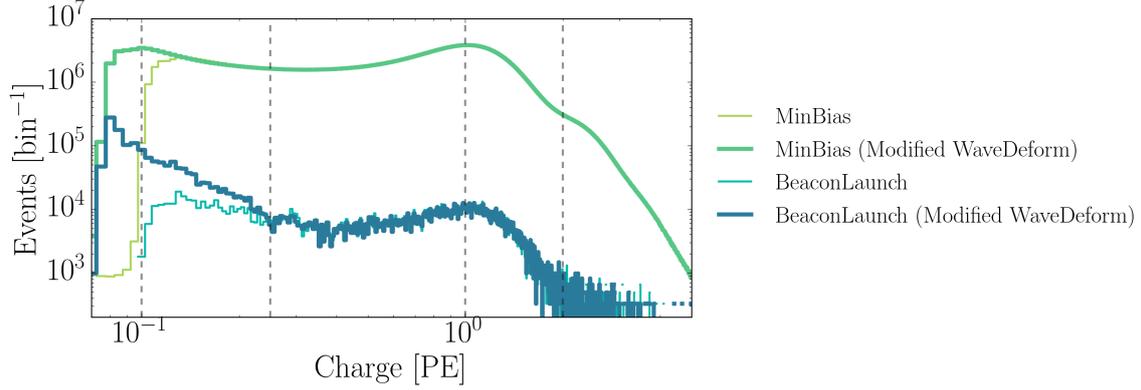
189 In the context of monitoring the waveforms, noise will be defined as ADC fluctuations or  
190 ringing arising from the pedestal. As the modifications to WaveDeform lower the measured charge  
191 threshold, the amount of reconstructed noise increases. To quantify the amount of noise introduced  
192 into the charge distribution, the BeaconLaunch dataset is used.

193 The pulse selection described in Sec. 2, was run on the full BeaconLaunch dataset before and  
194 after the modifications to WaveDeform, this is shown in the light and dark blue histogram of Fig. 3.  
195 The BeaconLaunch data in this figure has been scaled by a factor of 163 such that the total livetime  
196 of the BeaconLaunch dataset was that of the MinBias dataset. In the region below 0.10PE, we find  
197 that the noise contributes less than 1/10th of the total charge.

### 198 **4. Extracting the SPE templates**

#### 199 **4.1 Fitting procedure**

200 Pulses that fall below the WaveDeform threshold and are not reconstructed contribute to an ef-  
201 fective efficiency of the individual DOM. This analysis assumes the same shape of the steeply



**Figure 3.** The cumulative charge distributions of all DOMs for the MinBias and BeaconLaunch datasets, for both the modified and non-modified version of WaveDeform. The BeaconLaunch datasets have been scaled such that their livetime matches that of the MinBias dataset. Vertical dotted lines are shown at 0.10PE, 0.25PE, 1PE and 2PE.

202 falling exponential component ( $\text{Exp}_1$ ) for all DOMs in the detector to avoid large fluctuations in  
 203 the individual DOM efficiencies. The shape of  $\text{Exp}_1$  is determined by fitting the cumulative charge  
 204 distribution for all DOMs, for all seasons and uses the modified WaveDeform datasets.

205 The fit assumes that there is a negligible three-PE contribution, which is evident both by the  
 206 lack of statistics in the 3PE region, as well as the significant scale difference between the 1PE and  
 207 2PE region).

208 The second exponential ( $\text{Exp}_2$ , components  $E_2$  and  $w_2$  of Eq. 1.1), represents poorly amplified  
 209 photoelectrons and therefore we do not allow it to extend beyond the high charge region of the  
 210 Gaussian component. In particular, we include a constraint on the the parameter  $w_2$  to ensure that  
 211 it falls off with the Gaussian component:

$$w_2 < \frac{\mu + 2\sigma}{4 - \text{Ln}(N/E_1)} \quad (4.1)$$

212 This equation was found by setting the  $\text{Exp}_2$  to be  $1/e^2$  that of the Gaussian component at two  
 213 sigma.

214 To avoid the Gaussian component extending below the 0PE, a constraint on the Gaussian  
 215 width,  $\sigma$  of Eq. 1.1, is set to be:

$$\sigma < \frac{0.5\mu^2}{\text{Ln}(100)} \quad (4.2)$$

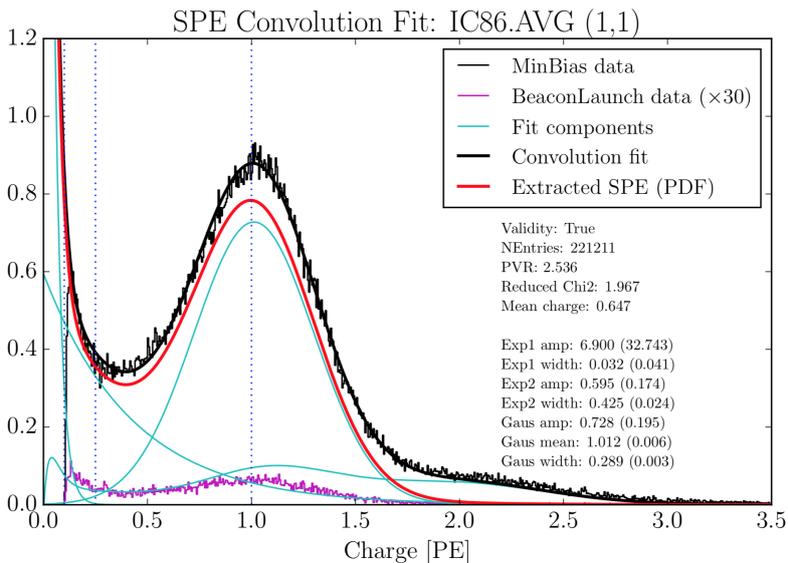
216 This constraint enforces that the Gaussian component at 0PE is less than 1% the amplitude of the  
 217 Gaussian.

218 The convolutional fitter is used with the constraints (Eq. ??) to extract the fit components to  
 219 the measured charge distributions. First, it is used to determine the shape of  $\text{Exp}_1$  using the cu-  
 220 mulative charge distributions of all the DOMs summed together, with the modified BeaconLaunch  
 221 dataset subtracted from the modified MinBias dataset. Then, the shape of  $\text{Exp}_1$  is inserted into all  
 222 subsequent fits using the non-modified MinBias datasets.

223 **4.2 SPE template fit results**

224 Using the background subtracted modified WaveDeform dataset, the steeply falling exponential  
 225 component was determined by fitting from 0.1PE to 3.5PE to be  $E_1 = 6.9 \pm 1.5$  and  $w_1 = 0.032 \pm 0.002\text{PE}$ .  
 226 The shape of the steeply falling exponential is then used to describe the low-PE charge region for  
 227 all subsequent non-modified WaveDeform fits. These fits are performed for each individual DOM,  
 228 separately for each IceCube season (IC86.2011 to IC86.2016), and for the individual DOM cumu-  
 229 lative fit where all the seasons are summed together (labeled as "AVG"). Failed fits (dead DOMs,  
 230 DOMs with known problems, or DOMs that fail any one of several validity checks on the good-  
 231 ness of fit) are not included in this analysis, however, in simulation they are given the average SPE  
 232 template shape.

233 The fit range is selected to be between 0.2PE and 3.5PE. An example fit is shown in Fig. 4  
 234 for the cumulative charge distribution for string 1, optical module 1 (DOM (1,1)). The collected  
 235 charge is shown in the black histogram, while the convolutional fit is shown as the black line.  
 236 The extracted SPE template for this DOM is shown in red. The fit components, in green, show  
 237 the steeply falling exponential at low charge, the Gaussian and second exponential, and the 2PE  
 238 contribution (the multi-PE contamination).



**Figure 4.** An example fit result for DOM (1,1) using the non-modified WaveDeform and data from all seasons. The result from the convolutional fitter is shown in black and the components of the fit are shown in green. The extracted SPE template is shown in red. The purple histogram is the full detector (all DOMs summed together) non-modified BeaconLaunch dataset, scaled to the livetime of the MinBias data and further multiplied by a factor of 30 in order to be visible.

239 The mean value and  $1\sigma$  spread of the fit parameters, excluding  $\text{Exp}_1$  and the Gaussian mean  
 240 (since it is calibrated to be unity), for the IceCube (DeepCore) detector is shown in Table 1 (Ta-  
 241 ble 2). The overall shape of the distribution, the mean value of the fit parameters, and the spread  
 242 were found to stable over the six seasons of analyzed data.

243 The individual DOM SPE templates were then examined between IceCube seasons. For every  
 244 DOM, the change over time of each fit parameter was calculated.

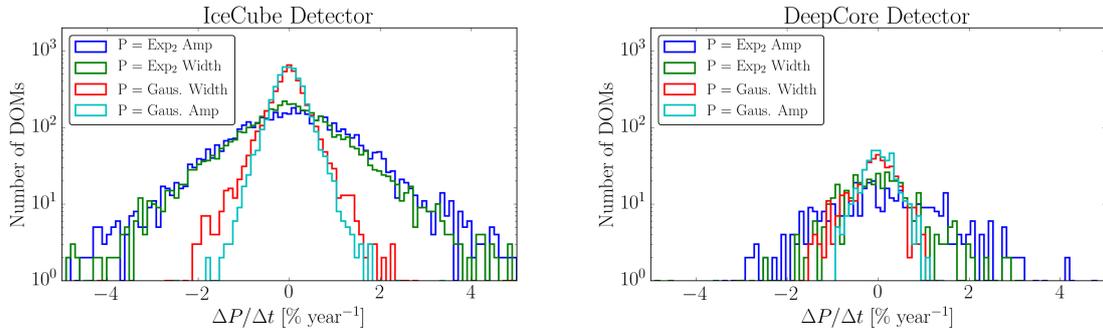
IceCube	Exp <sub>2</sub> Amplitude	Exp <sub>2</sub> Width	Gaus. Amplitude	Gaus. Width
IC86.2011	0.552 ± 0.070	0.419 ± 0.036	0.721 ± 0.057	0.305 ± 0.019
IC86.2012	0.553 ± 0.069	0.418 ± 0.036	0.722 ± 0.057	0.305 ± 0.020
IC86.2013	0.555 ± 0.068	0.417 ± 0.036	0.721 ± 0.056	0.305 ± 0.020
IC86.2014	0.553 ± 0.068	0.419 ± 0.035	0.720 ± 0.056	0.306 ± 0.019
IC86.2015	0.554 ± 0.070	0.418 ± 0.038	0.722 ± 0.057	0.305 ± 0.020
IC86.2016	0.554 ± 0.069	0.418 ± 0.036	0.721 ± 0.057	0.305 ± 0.020

**Table 1.** The average fit value and 1σ spread for the IceCube detector.

DeepCore	Exp <sub>2</sub> Amplitude	Exp <sub>2</sub> Width	Gaus. Amplitude	Gaus. Width
IC86.2011	0.604 ± 0.067	0.417 ± 0.029	0.678 ± 0.040	0.312 ± 0.016
IC86.2012	0.606 ± 0.070	0.416 ± 0.030	0.679 ± 0.040	0.312 ± 0.015
IC86.2013	0.610 ± 0.067	0.413 ± 0.029	0.678 ± 0.041	0.311 ± 0.016
IC86.2014	0.609 ± 0.066	0.414 ± 0.031	0.677 ± 0.040	0.312 ± 0.015
IC86.2015	0.607 ± 0.063	0.417 ± 0.029	0.680 ± 0.041	0.311 ± 0.016
IC86.2016	0.610 ± 0.065	0.415 ± 0.030	0.679 ± 0.040	0.311 ± 0.016

**Table 2.** The average fit value and 1σ spread for the DeepCore detector.

245 Fig. 5 shows the change in a given fit parameter (represented in percentage deviation from the  
 246 mean value), per year, of each DOM in both the IceCube (left) and DeepCore (right) detectors.  
 247 All the fit parameters are found to deviate less than 0.1% per year in both detectors, which is in  
 248 agreement with the stability checks performed in Ref. [5].



**Figure 5.** The change in individual DOM fitted parameters over time (left: IceCube, Right: DeepCore). The change in the fit value is represented in percentage deviation from the mean fit parameter value.

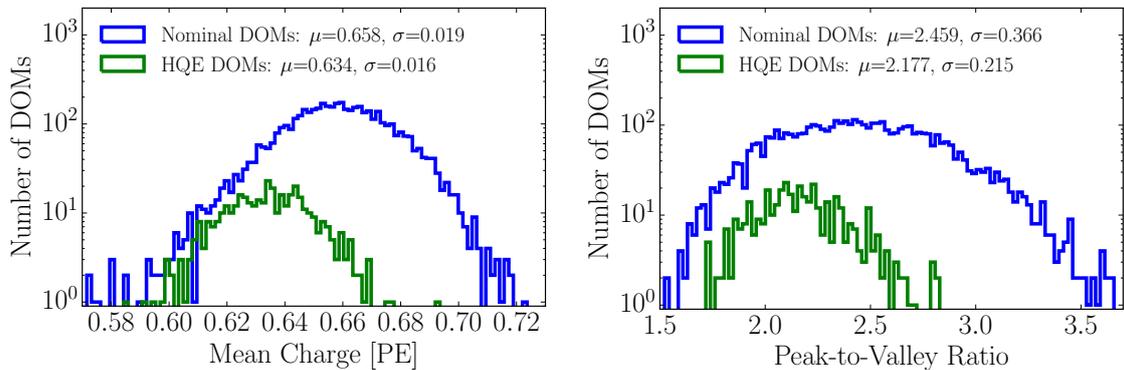
## 249 5. Discussion

### 250 5.1 Correlations between fit parameters and DOM hardware differences

251 As noted in Sec. 1, there are two hardware differences implemented in the deployment of the  
 252 DOMs: subset of HQE DOMs and the method used for AC coupling the PMT anode to the front-

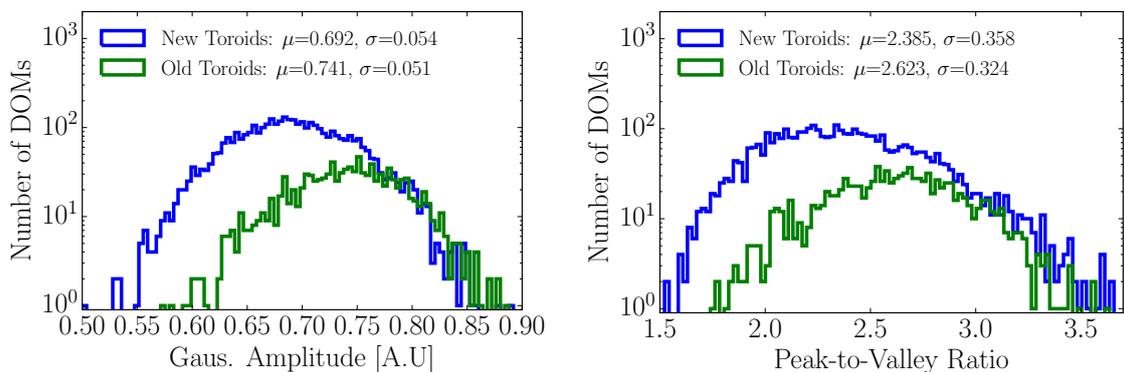
253 end amplifiers. Correlations between the different hardware configurations were examined for  
 254 correlations with the SPE template fit components.

255 The HQE DOMs were found to have a larger  $\text{Exp}_2$  component (9.2% lower  $w_2$  component,  
 256 and a 17.2% higher  $E_2$ , described in terms of Eq.1.1) than the standard DOMs in IceCube. Conse-  
 257 quently, the HQE DOMs have an 11.6% lower peak-to-valley ratio and a 3.7% lower mean charge.  
 258 These distributions are shown in Fig. 6.



**Figure 6.** Comparison between the R7081-02MOD HQE DOMs and standard R7081-02 DOMs. Left: The mean charge of the individual DOM SPE templates. Right: The Peak-to-Valley ratio for the two subsets of quantum efficiencies.

259 The DOMs with the old method of AC coupling were found to have a 7.2% narrower Gaussian  
 260 width and an 8.0% larger Gaussian amplitude ( $\sigma$  and  $N$  in Eq. 1.1) . The exponential component,  
 261 however, was found to be within 0.9% of the average DOMs. Although the old toroid DOMs  
 262 were deployed into ice earlier than the new toroid DOMs, the difference above is still noted when  
 263 examining individual deployment years, therefore the shape differences are not attributed to the  
 264 change in the DOM behavior over time. However, the DOMs with the old toroids were the first  
 265 DOMs to be manufactured by Hamamatsu, therefore, this difference may also be attributed to a  
 266 change in the production procedure rather than the actual AC coupling method.



**Figure 7.** Comparison between the AC coupling method used on the DOMs. Left: The Gaussian amplitude fit component,  $N$ . Right: The Peak-to-Valley ratio for the subset of DOMs with different AC coupling.

267 **6. Conclusion**

268 This report outlines the procedure used for collecting a relatively pure sample of single photo elec-  
269 tronics from in-ice IceCube data. Multi-PE contamination was removed using the assumption that  
270 the MPE contamination is the convolution of the SPE distribution multiple times. The correlations  
271 between the extracted shape of the SPE templates and hardware specific differences in the DOMs  
272 was investigated. Sub-percent level seasonal variations were observed, in agreement with Ref. [5].  
273 Individual DOM seasonal variations were found to be sub 0.1% per year. The HQE DOMs located  
274 in the IceCube and DeepCore detectors, were found to have a distinguishable  $\text{Exp}_2$  component  
275 from the standard DOMs. Similarly, DOMs with different AC coupling were also found to have a  
276 distinguishable shape difference, however, this could have been due to the manufacturing process  
277 of the DOMs rather than the method of AC coupling.

278

279 **Acknowledgments**

280 We acknowledge the support from the following agencies: U.S. National Science Foundation - Of-  
281 fice of Polar Programs, U.S. National Science Foundation - Physics Division, University of Wiscon-  
282 sin Alumni Research Foundation, the Grid Laboratory Of Wisconsin (GLOW) grid infrastructure  
283 at the University of Wisconsin - Madison, the Open Science Grid (OSG) grid infrastructure; U.S.  
284 Department of Energy, and National Energy Research Scientific Computing Center, the Louisiana  
285 Optical Network Initiative (LONI) grid computing resources; Natural Sciences and Engineering  
286 Research Council of Canada, WestGrid and Compute/Calcul Canada; Swedish Research Coun-  
287 cil, Swedish Polar Research Secretariat, Swedish National Infrastructure for Computing (SNIC),  
288 and Knut and Alice Wallenberg Foundation, Sweden; German Ministry for Education and Re-  
289 search (BMBF), Deutsche Forschungsgemeinschaft (DFG), Helmholtz Alliance for Astroparticle  
290 Physics (HAP), Research Department of Plasmas with Complex Interactions (Bochum), Germany;  
291 Fund for Scientific Research (FNRS-FWO), FWO Odysseus programme, Flanders Institute to en-  
292 courage scientific and technological research in industry (IWT), Belgian Federal Science Policy  
293 Office (Belspo); University of Oxford, United Kingdom; Marsden Fund, New Zealand; Australian  
294 Research Council; Japan Society for Promotion of Science (JSPS); the Swiss National Science  
295 Foundation (SNSF), Switzerland; National Research Foundation of Korea (NRF); Villum Fonden,  
296 Danish National Research Foundation (DNRF), Denmark.

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## 336 7. Appendix

### 337 7.1 Quantifying the effect of using SPE templates

338 Changing the assumed gain response in simulation, as deduced from data, has different implications  
339 depending on the typical illumination level as present in different analysis. These differences are  
340 outlined in the following.

341 The PMT response is described by a combination of a "bare" efficiency,  $\eta_0$ , and a normalized  
342 charge response function,  $f(q)$ . The bare efficiency represents the fraction of arriving photons that  
343 result in any non-zero charge response, including those well below the discriminator threshold. The  
344 normalization condition is:

$$\int_0^{\text{inf}} f(q) dq = 1. \quad (7.1)$$

345 Generally,  $f(q)$  and  $\eta_0$  have to be adjusted together to maintain agreement with a quantity known  
346 from lab or in-ice measurements, such as the predicted number of pulses above threshold for a dim  
347 source.

#### 348 7.1.1 Dim source measurements

349 Where light levels are low enough, sub-discriminator pulses do not contribute any observed charge  
350 because they do not satisfy the trigger threshold and the probability of two photons arriving together  
351 is negligible. Given some independent way of knowing the number of arriving photons, a lab or  
352 in-ice measurement determines the trigger fraction above threshold  $\eta_{0.25}$  and/or the average charge  
353 over threshold  $Q_{0.25}$ , either of which can be used to constrain the model as follows:

$$\eta_{0.25} = \eta_0 \int_{0.25q_{pk}}^{\text{inf}} f(q) dq \quad (7.2)$$

$$Q_{0.25} = \eta_0 \int_{0.25q_{pk}}^{\text{inf}} qf(q) dq \quad (7.3)$$

354 Here, the discriminator threshold is assumed to be 0.25 times the peak position  $q_{pk}$ . It is also  
355 useful to scale observed charges by  $q_{pk}$ , since we set each PMT gain by such a reference, and then  
356 a measurement constraint would be stated in terms of  $Q_{0.25}/q_{pk}$ .

#### 357 7.1.2 Semi-bright source measurements

358 Once the ATWD window is open, subsequent pulses are not limited by the discriminator threshold,  
359 however, WaveDeform introduces a software threshold at 0.1PE (described at the end of Section 2).  
360 The average charge of an individual pulse that arrive within the time window is therefore:

$$Q_{0.10} = \eta_0 \int_{0.10q_{pk}}^{\text{inf}} qf(q) dq \quad (7.4)$$

### 361 7.1.3 Bright source measurements

362 For light levels that are large, the trigger is satisfied regardless of the response to individual photons,  
 363 and the total charge per arriving photon therefore includes contributions below both the discrimi-  
 364 nator and the WaveDeform thresholds:

$$Q_0 = \eta_0 \int_0^{\text{inf}} qf(q) dq \quad (7.5)$$

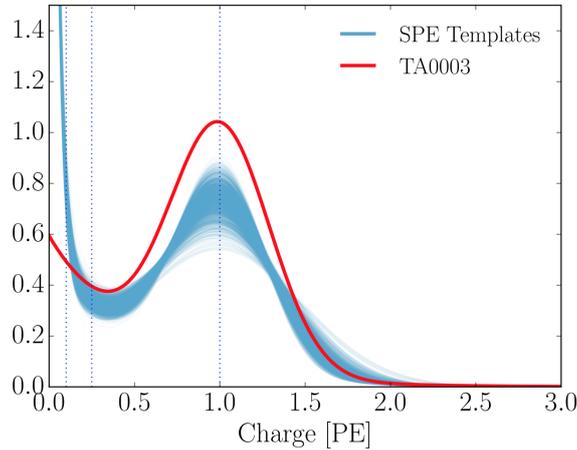
365 As such the total charge is directly propotional to the average charge of the SPE template,  
 366 having a strong dependence on the steeply falling exponential.

### 367 7.1.4 Model comparison

368 When the charge distribution model is changed in a way that preserves agreement with the mea-  
 369 sured  $\eta_{0.25}$  or  $Q_{0.25}/q_{pk}$ , i.e.  $\eta_0$  is adjusted properly for changes in  $f(q)$ , the physical effect can be  
 370 summarized by the change in the bright-to-dim ratios  $Q_0/Q_{0.25}$ , and  $Q_0/Q_{0.10}$ . Conveniently, these  
 371 ratios depend only on the shape of  $f(q)$ . Table 3 compares these ratios in terms of the previous  
 372 charge distribution (TA0003) and the SPE templates described here.

Model	Detector	$Q_0/Q_{0.25}$	$Q_0/Q_{0.10}$	$\eta_{0.25}/Q_{0.25}$
TA0003	IceCube and DeepCore	1.017	1.003	0.969
SPE Templates	IceCube	$1.031 \pm 0.003$	$1.013 \pm 0.001$	$0.971 \pm 0.006$
SPE Templates	DeepCore	$1.034 \pm 0.002$	$1.014 \pm 0.001$	$0.965 \pm 0.006$

**Table 3.** The distribution in bright-to-dim ratios for the previous charge distribution (TA0003) and the individual DOM SPE templates for the IceCube and DeepCore detector.



**Figure 8.** The normalized charge distributions. The TA0003 distribution is shown in red, while the cumulative SPE templates for DOMs in both IceCube and DeepCore are shown in Blue.

373 Table 3, shows percent-level differences in the physically observable bright-to-dim ratios.  
 374 Fig. 8, shows the shape difference between the TA0003 distribution and all the SPE templates

375 measured in this report. The shape difference is attributed to a better control of the low charge  
376 region, the difference in functional form (described in Section 1.1), as well as the fact that the SPE  
377 templates sample uniformly over the entire photocathode at random incident angles.